

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

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CASE *Balachandran 17-9-50*

TITLE **Methods And Devices For Scheduling Transmissions In Interference-limited Networks**

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Enclosed are the following papers relating to the above-named application for patent:

Specification
1 Sheet of drawings(s) containing FIG. 1
1 Assignment with Cover Sheet
Declaration and Power of Attorney

CLAIMS AS FILED				
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
Total Claims	32 - 20	12	x \$ 18.00 =	\$ 216.00
Independent Claims	4- 3	1	x \$78.00 =	78.00
Multiple Dependent Claims(s), if applicable				
Basic Fee			\$690.00	\$ 690.00
			TOTAL FEE:	\$ 984.00

Please file the application and charge **Lucent Technologies Deposit Account No. 12-2325** the amount of \$984.00 to cover the filing fee. Duplicate copies of this letter are enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error.

Please address all correspondence to: John E. Curtin, Esq., The Jefferson Law Firm, PLC, 6862 Elm Street, Seventh Floor, McLean, Virginia 22101. However, telephone calls should be made to me at (703) 790-3310 or (703) 266-9543.

Respectfully,
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Date: August 3, 2000

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**Methods and Devices for Scheduling Transmissions
in Interference-limited Networks**

Balachandran 17-9-50

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ABSTRACT OF THE DISCLOSURE

10 Uplinked and downlinked transmissions in an interference-limited system, such as a cellular network, are prioritized and authorized by channel quality/data rate to minimize interference.

BACKGROUND OF THE INVENTION

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Interference-limited systems have proliferated in recent years. These systems give users the convenience of real-time communications without the physical constraints of dedicated lines. In these systems, the data rate that can be achieved is dependent upon the amount of interference with a "transmission" (e.g., a cellular telephone call). The amount of interference is reflected in the quality of the channel ("channel quality"). The channel quality for a given transmission depends upon several factors, including path loss between a base station (e.g., a cell) and a terminal unit (e.g., a cellular phone), and interference from other transmissions within the same network.

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Channel quality metrics such as the carrier-to-interference ratio (the "C/I ratio"), the bit error probability, and the coefficient of variation of the bit error probability, are often used as indicators of the data rate that can be achieved in a given transmission. Higher data rates can be achieved when the channel quality improves, e.g., at higher C/I values.

25

While interference is a limiting factor, the bandwidth available to these systems is also limited. When there is more than one user competing for part of the available bandwidth, methods and devices must be devised which allocate bandwidth efficiently and appropriately.

Typically, users will be competing for bandwidth when they seek to send or receive
5 transmissions at substantially the same time. For example, before uplinking, competing users will typically request authorization to transmit. Similarly, before downlinking, a base station controls transmissions to be sent to competing users. Any method must prioritize both uplink and downlink transmissions. Taking various factors into consideration, uplinked and downlinked transmissions can be authorized in accordance with a prioritization schedule or
10 the like.

Prior to proceeding, it should be understood that the word "scheduling" may mean prioritizing, authorizing, or some combination of the two.

15 Scheduling methods have been the subject of significant study. A number of methods have been proposed, including "first-in-first-out," "weighted fair queuing," "round-robin scheduling," and "virtual clock." Under existing methods, users transmitting over channels whose channel quality is low are not penalized, but are protected and given a fair share of the bandwidth. These "fairness methods" are designed to allocate additional transmission time to
20 users transmitting via such channels in order to allow the transmission of approximately the same amount of data as users making use of channels whose quality is much higher.

In developing these fairness methods, however, it has been assumed that the link level characteristics of each user's channel are independent of the scheduling method used. This
25 assumption, though, neglects to account for the fact that the scheduling method has a considerable impact on the channel quality (i.e., achievable data rate) achieved by each user. That is, when a user is transmitting, the transmission itself causes interference to other transmissions. As a result, the longer such a user is transmitting, the more interference other

users experience. Because the amount of interference is dependent upon the scheduling method, other users' achievable data rates will be reduced, which in turn increases the amount of time they spend transmitting, ultimately causing even more interference to other users.

5 Accordingly, it is desirable to provide methods and devices for authorizing transmissions in interference-limited networks that take into account the interdependence between scheduling and interference.

Other desirable features will become apparent to those skilled in the art from the
10 following description taken in conjunction with the accompanying drawings and claims.

SUMMARY OF THE INVENTION

In accordance with the present invention there are provided devices and methods for
15 scheduling transmissions in an interference-limited network. Such methods and devices envision a device adapted to prioritize uplinked transmission request signals based on achievable data rates. The device is further adapted to assign a highest priority to a transmission request signal associated with a highest achievable data rate. Such devices may comprise a bandwidth allocation unit or one of a number of different multiplexers.

20 Further, the device is adapted to authorize a terminal unit associated with the highest achievable data rate to send an uplinked transmission.

Similarly, the present invention envisions the same or a different device which is adapted to prioritize downlinked, transmission test signals based on achievable data rates.
25 Thereafter, the device is adapted to assign a highest priority to a transmission test signal associated with a highest achievable data rate, and authorize a downlinked transmission to a terminal unit associated with the highest achievable data rate.

The present invention and its advantages can be best understood with reference to the drawings, detailed description of the invention, and claims that follow.

BRIEF DESCRIPTION OF THE DRAWINGS

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FIG. 1 depicts a device adapted to schedule transmissions in an interference-limited system by taking into account the interdependence between scheduling and interference according to one embodiment of the present invention.

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DETAILED DESCRIPTION OF THE INVENTION

FIG. 1 shows an interference-limited communication network 100 comprising a control device or means 10, and a plurality of terminal units 1, 2, ..., n. Of course, FIG. 1 does not show all of the component parts of a communication network. It should be understood that the control device 10, and the plurality of terminal units 1, 2, ..., n are only some of the parts making up such a network.

In an illustrative embodiment of the present invention, the device 10 is adapted to schedule transmissions in an interference-limited network, giving priority to a transmission request signal S_{Rj} (where $1 \leq j \leq n$) associated with the highest achievable data rate. The device 10 is further adapted to give priority to a transmission test signal S_{Tk} (where $1 \leq k \leq n$) also associated with a highest achievable data rate. It should be noted that j, k are not necessarily the same because uplink and downlink behavior can be different.

As shown in FIG. 1, the device 10 comprises a prioritization unit or means 20, and an authorization unit or means 30. Though shown as separate units, these units may be combined into a single unit. Likewise, they may be further broken down into additional units that perform substantially the same functions and operate in substantially the same manner as

the separate units. Though referred to as a control device, it should be understood that this is arbitrary. The device 10 may be called by various names without departing from the spirit and scope of the present invention so long as it functions to authorize transmissions in an interference-limited network. It should be understood that by authorizing transmissions
5 according to a channel's data rate, the device 10 inherently allocates bandwidth as well. In alternative embodiments of the invention, the device 10 may comprise a bandwidth allocation unit, a frequency division multiplexer, a time division multiplexer, or a code division multiplexer to name just a few examples.

10 In addition, it should also be noted that the present invention is not limited to cellular networks or to any particular frequency band, but rather is applicable to any shared-media network or frequency in which one transmission may cause interference to another transmission. In alternative embodiments of the invention, the network may transmit in the radio, microwave, wireless LAN, or infrared frequencies.

15 Regardless of the frequency employed in the communication network 100, metrics regarding the channel quality (i.e., achievable data rate), including the C/I ratio, the bit error probability, and the coefficient of variation of the bit error probability, are routinely measured by methods known in the art, and reported to the control device 10. In the discussion which
20 follows, and for purposes of the present invention, the terms achievable data rate and channel quality will be used interchangeably and have the same meaning; more of a given signal is allowed to pass through a given channel.

One example of how the device 10 operates to prioritize and authorize uplink
25 transmissions is as follows.

In an illustrative embodiment of the invention, a terminal unit 1 sends a transmission request signal S_{R1} to the control device 10. Additionally, and at substantially the same time, a second terminal unit 2 sends a request signal S_{R2} to the control device 10. Furthermore, any

number of terminal units, up to terminal unit n , may send a request signal S_{Rn} to the control device 10 at substantially the same time.

Using means known in the art, the device 10 is adapted to receive the request signals $S_{R1}, S_{R2}, \dots, S_{Rn}$. A terminal unit 1, 2 ... n sends a request signal when it needs to send a transmission. In an illustrative embodiment of the invention, the prioritization unit 20 is adapted to prioritize the request signals $S_{R1}, S_{R2}, \dots, S_{Rn}$ based on the achievable data rate associated with each request signal. More specifically, the prioritization unit 20 is adapted to assign a priority to each request signal based on the data rates associated with each request signal, for example, assigning the highest priority to the request signal S_{Rn} associated with the highest achievable data rate.

Thereafter, authorization unit 30 is adapted to send an authorization signal S_{An} to a terminal unit n according to the assigned priorities. In an illustrative embodiment of the invention, the authorization unit 30 is adapted to send the authorization signal S_{An} to the terminal unit n associated with the highest assigned priority (i.e., the highest achievable data rate). Upon receiving an authorization signal S_{An} , the terminal unit n is then authorized to send a terminal transmission to a receiver (not shown) within the network 100. In an illustrative embodiment of the invention, the control device 10 is separate from such a receiver. In an alternative embodiment, a control device 10 envisioned by the present invention comprises a receiver.

Substantially after this is completed, the authorization unit 30 is adapted to generate authorization signals $S_{A1}, S_{A2}, \dots, S_{An}$ to authorize the remaining terminal units 1, 2 ... n to send transmissions to receivers within the network 100 according to their priority.

Though the examples above and below use the highest achievable data rate as the highest priority, the invention is not so limited. Any data rate (and, therefore, terminal unit)

may be assigned the highest (i.e., first) priority.

In an illustrative embodiment of the invention, one example of how the device 10 operates to prioritize and authorize downlink transmissions is as follows. Before beginning,
5 it should be understood that the device 10 may authorize downlink transmissions to different terminal units 1, 2 ... n than were authorized to uplink transmissions.

Referring again to FIG. 1, the device 10 is adapted to authorize transmissions in an interference-limited network 100. Using means known in the art, the device 10 is adapted to
10 send transmission test signals S_{T1} , S_{T2} , ... S_{Tn} to the terminal units 1, 2, and n. In an illustrative embodiment, the prioritization unit 20 is adapted to prioritize the transmission test signals S_{T1} , S_{T2} , ... S_{Tn} based upon achievable data rates associated with each signal. More specifically, the prioritization unit 20 is adapted to assign a priority to each transmission test signal, for example, assigning the highest priority to the transmission test signal S_{Tn}
15 associated with the highest achievable data rate.

Thereafter, in an illustrative embodiment of the invention, the authorization unit 30 is further adapted to authorize transmissions to a terminal unit n associated with the highest assigned priority (e.g., the highest achievable data rate).

Similar to before, once a transmission to the terminal unit associated with the highest priority is completed, the authorization unit 30 is adapted to authorize transmissions to the remaining terminal units 1, 2 ... n based on their assigned priority.

In an alternative embodiment of the present invention, the prioritization unit 20 is further adapted to periodically poll the signal channel quality associated with one or all of the active terminal units 1, 2, ..., n in order to update channel quality metrics. This allows the signal channel quality of the channel associated with each terminal unit n to be dynamically monitored. Thereafter, the unit 20 can be adapted to adjust priorities of one or all of the

terminal units 1, 2 ... n accordingly.

Taken together, the sequence in which transmissions are prioritized and authorized make up a schedule. By so scheduling transmissions, interference with a given transmission
5 is reduced.

It should be understood that the signals S_{A1} , S_{A2} ... S_N , S_{R1} , S_{R2} ... S_{Rn} and/or S_{T1} , S_{T2} ... S_{Tn} may be combined into a single signal or further broken down into further signals using means known in the art. This is because the exact number of signals used is not important;
10 only the channel quality between the device 10 and terminal units 1, 2 n is important to realizing the present invention.

Though the description above has focused on devices, the present invention also envisions methods for scheduling transmissions in interference-limited networks that take
15 into account the interdependence between scheduling and interference.

It is to be understood that changes and variations may be made without departing from the spirit and scope of this invention as defined by the claims that follow.

20 **We Claim:**

1. A device for scheduling transmissions in an interference-limited network, wherein the device is adapted to prioritize transmission request signals based on achievable data rates.
- 25 2. The device as in claim 1 wherein the device is further adapted to assign a highest priority to a transmission request signal associated with a highest achievable data rate.

3. The device as in claim 2 wherein the device is further adapted to authorize a terminal unit associated with the highest achievable data rate to send a transmission.
- 5 4. The device as in claim 1 wherein the device is further adapted to authorize a terminal unit associated with a prioritized transmission request signal to send a transmission.
- 10 5. The device as in claim 1 wherein the device comprises a bandwidth allocation unit.
6. The device as in claim 1 wherein the device comprises a multiplexer.
- 15 7. The device as in claim 1, wherein the device is further adapted to periodically poll a data rate associated with a terminal unit within the network.
8. The device as in claim 7 wherein the device is further adapted to adjust a priority associated with the terminal unit based on the polled data rate.
- 20 9. The device as in claim 1 wherein the device is further adapted to prioritize transmission test signals based on achievable data rates.
- 25 10. The device as in claim 9 wherein the device is further adapted to assign a highest priority to a transmission test signal associated with a highest achievable data rate.

11. The device as in claim 10 wherein the device is further adapted to authorize a transmission to a terminal unit associated with the highest achievable data rate.

5 12. The device as in claim 9 wherein the device is further adapted to authorize a transmission to a terminal unit associated with a prioritized transmission test signal.

10 13. A device for scheduling transmissions in an interference-limited network, wherein the device is adapted to prioritize transmission test signals based on achievable data rates.

15 14. The device as in claim 13, wherein the device is further adapted to assign a highest priority to a transmission test signal associated with a highest achievable data rate.

15 15. The device as in claim 14 wherein the device is further adapted to authorize a transmission to a terminal unit associated with the highest achievable data rate.

20 16. The device as in claim 13 wherein the device is further adapted to authorize a transmission to a terminal unit associated with a prioritized transmission test signal.

17. The device as in claim 13 wherein the device comprises a bandwidth allocation unit.

18. The device as in claim 13 wherein the device comprises a multiplexer.

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19. A method for scheduling transmissions in an interference-limited network comprising:

prioritizing transmission request signals based on achievable data rates.

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20. The method as in claim 19 further comprising assigning a highest priority to a transmission request signal associated with a highest achievable data rate.

21. The method as in claim 20 further comprising authorizing a terminal unit associated with the highest achievable data rate to send a transmission.

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22. The method as in claim 19 further comprising authorizing a terminal unit associated with a prioritized transmission request signal to send a transmission.

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23. The method as in claim 19 further comprising periodically polling a data rate associated with a terminal unit within the network.

24. The method as in claim 23 further comprising adjusting a priority associated with the terminal unit based on the polled data rate.

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25. The method as in claim 19 further comprising prioritizing transmission test signals based on achievable data rates.

26. The method as in claim 25 further comprising assigning a highest priority to a transmission test signal associated with a highest achievable data rate.

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27. The method as in claim 26 further comprising authorizing a transmission to a terminal unit associated with the highest achievable data rate.

28. The method as in claim 25 further comprising authorizing a transmission to a terminal unit associated with a prioritized transmission test signal.

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29. A method for scheduling transmissions in an interference-limited network comprising:

prioritizing transmission test signals based on achievable data rates.

30. The method as in claim 29 further comprising assigning a highest priority to a transmission test signal associated with a highest achievable data rate.

5 31. The method as in claim 30 further comprising authorizing a transmission to a terminal unit associated with the highest achievable data rate.

32. The method as in claim 29 further comprising authorizing a transmission to a terminal unit associated with a prioritized transmission test signal.

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Declaration and Power of Attorney

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our name.

We believe we are the original inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **Methods and Devices for Scheduling Transmissions in Interference-limited Networks** the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

We acknowledge the duty to disclose all information known to us which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

None

We hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, we acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

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We hereby appoint the attorney on ATTACHMENT A as associate attorney in the aforementioned application, with full power solely to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected with the prosecution of said application. No other powers are granted to such associate attorney and such associate attorney is specifically denied any power of substitution or revocation.

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ATTACHMENT A

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